

Webinar Notebook

SIE Day 1: Equity and Bonds for “Aha!” Moments



Notebook Icon Key



“Listen For”- These sections will not be called out by the trainer. They are intended to help you track the most important ideas as you listen and take notes.



“Quick Tips & Guidance”- Suggestions on note-taking or session/notebook info



“Answer Key”- For additional activities at the end of this Notebook. These are not intended to be completed during the session, therefore, an answer key is provided.



Download this notebook to jot down notes, questions, and key ideas during the webinar. You can type directly into this PDF or print it and write by hand.

Agenda

Day 1: Equity and Bonds

Common Stock

- Splits
- DERP Chart

Bonds

- Yields
- Seesaw
- Convertible



Some topics may be brief.
The agenda may shift based
on your questions, topic
difficulty, and exam weight.

Day 2: Options and Investment Companies

Options

- Exercise Action Chart
- Home Base Chart
- Strategy
- T-Chart

Investment Companies

- Open-end vs. Closed-end Investment Company Securities
- Characteristics
- Breakpoint/Discounts

Day 3: Markets and Margins

Security Markets

- Secondary Market
- Market, Limit, and Stop Orders
- Market Maker and Designated Market Maker

Margins

- What is a Margin Account
- Regulation T
- Long Margin Account vs. Short Margin Account

Chapter 1: Equity Securities

Section: Fundamentals of Common Stock & Common Stock Terminology



Why do companies issue equity securities?
How does the investor profit?

Section: Fundamentals of Common Stock & Common Stock Terminology



What is the difference between cumulative and statutory voting?

Chapter 1: Equity Securities

Section: Fundamentals of Common Stock & Common Stock Terminology



What is a Long Position? What is the max gain and loss?
What is a Short Position? What is the max gain and loss?

Section: Equity Securities Classifications



Chapter 1: Equity Securities

Section: Stock Split

Step 1: Find the New Price of the stock

Step 2: Find the new number of shares

Section: Equity Security Derivatives



What is the difference between a Right and Warrant?

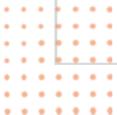
Chapter 1: Equity Securities

Section: Dividends of Common Stock and Preferred Stock

 D	 E	 R	 P
<i>Declaration</i>			

Calendar:

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday



Chapter 1: Equity Securities

Notes from Slido Polling Questions



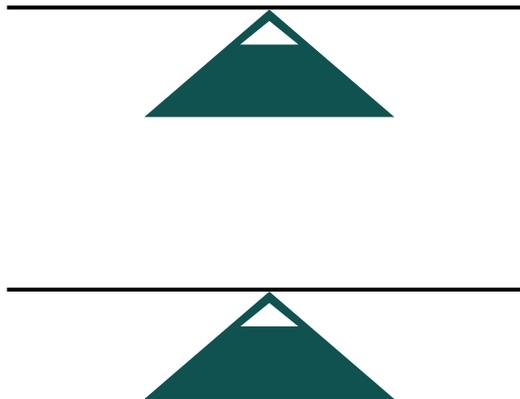
If you missed or guessed on a question, note the topic. Review after the webinar.

Chapter 2: Debt Securities

Section: Introduction to Bonds

 What is a bond? | What is the Coupon Rate? | How are they priced?

Section: Bond Yields



Chapter 2: Debt Securities

Section: Bond Yields (cont.)



Section: Types of Corporate Bonds



What is the difference between the Open and Closed Mortgage bond?

Chapter 2: Debt Securities

Section: Types of Corporate Bonds (cont.)

Section: Features of Corporate Bonds



Chapter 2: Debt Securities

Section: Extra On Bonds: CMO, US Gov't, and Munis.



Chapter 2: Debt Securities

Notes from Slido Polling Questions



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