

ExamFX Kansas Enrollment Information
(A document that describes information about user registration and
use of ExamFX courses)

The Kansas Board of Regents requires ExamFX to provide this information to any individual who registers to use ExamFX learning materials (User). If you have questions about the content of this document, please call ExamFX at 800-586-2253.

ExamFX, 11161 Overbrook Road, Leawood, KS 66211

Titles of study materials:

(Your registration will not include all courses listed below. Separate purchases will be required. Please see your registration confirmation e-mail for a complete listing of courses purchased.)

Insurance Prelicensing Exam Prep

Life Prelicensing, Health Prelicensing, Life & Health Prelicensing, Property & Casualty Prelicensing, and Personal Lines Prelicensing

Securities Prelicensing Exam Prep

Series 3, Series 4, Series 9, Series 10, Series 24, Series 31, Series 34, Series 63, Series 65, Series 66, Series 99, Securities Industry Essentials (SIE), Series 6 Rep Level, SIE & Series 6 Bundle, Series 7 Rep Level, SIE & Series 7 Bundle, SIE & Series 99 Bundle.

Total number of clock or credit hours and number of weeks or months required for completion:

ExamFX does not require a minimum number of hours, and no credit hours are assigned to these courses.

Identification of the type of certificate to be received upon completion:

To earn a certificate of completion, score at least 70% on the Practice Exam – Exam Mode after you have completed your studies. Request a certificate of completion from the main menu.

Total fees:

Fees are displayed prior to purchase during the registration process and are documented on a receipt that is e-mailed after purchase. Fees are subject to change.

Cost of any required books and supplies:

These costs are included in the course fees referenced above.

Any other costs and charges:

There are no other mandatory costs. User will be given the option of purchasing supplemental products for insurance prelicensing courses. Those costs are listed next to the product title before purchase.

Description of items/services required to be purchased from sources other than the institution:

User is not required to purchase any items or services from other sources.

Refund policies:

You are under no obligation to finish the learning materials for which you are registered. If you decide that you would no longer like to use the system, ExamFX only needs to be notified in the event that you are eligible for a refund and would like to request one. In this case, you may contact ExamFX by calling 800-586-2253.

Customers may request a refund (less shipping) within 60 days of the date of registration.

To be eligible for a full refund the following conditions apply:

- Customer has not completed and passed the Exam Mode practice test (70%).
- All physical materials must be returned to ExamFX in original, unused condition.
- Returns must be postmarked within 10 calendar days of the refund request date.

Refunds will not be granted for failure to complete study materials or failure to attend lecture(s) when purchased. Access to online study materials will be terminated upon refund approval.

If a refund is issued, funds will be returned to the credit card used to make the purchase within 10 business days.

Course extensions, digital add-on products and in-course purchases are non-refundable.

Scheduled start date and description of schedule:

ExamFX learning materials are self-directed. Online access expires 60 days from the purchase date, at which point User may purchase access for an additional 30-day period.

Grounds for termination of access:

ExamFX reserves the right to terminate system access of individuals who violate the ExamFX Terms and Conditions, Terms of Use, and any other Agreement between User and ExamFX. Such individuals will not be eligible for a refund.

Method by which user can withdraw:

User is under no obligation to complete the learning materials and is not required to notify ExamFX of withdrawal unless a refund is desired.

Statement disclaiming guarantee of employment:

ExamFX does not guarantee employment for individuals who use these learning materials. ExamFX does not provide employment placement/referral services.

Reasons why the institution may postpone the scheduled starting date:

Not applicable. ExamFX learning materials are self-directed.

Description of the nature and extent of a possible change of course content or materials and the amount of extra expenses that may be charged:

The state exam testing provider periodically updates its prelicensing exam content outlines. ExamFX updates course materials to reflect the most current outline and reserves the right to update learning materials at any time. All online materials are updated regularly to reflect the latest content. Users with outdated textbooks may contact ExamFX for a free addendum by calling 800-586-2253.

Document date:

This document will be provided to the User during the registration process and will be electronically signed by the user prior to purchase.

Acknowledgment of understanding:

By completing the registration process, User attests that he or she has read this document and understands its contents. This document does not replace the Terms & Conditions, Terms of Use, or any other Agreement between User and ExamFX.

ExamFX Course Catalog – Kansas

If you have questions about this document, please call ExamFX at 800-586-2253.

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Date of Publication:

June 2025

Owner of the Institution:

ExamFX is owned by Ascend Learning, LLC.

Institutional mission:

ExamFX provides quality learning materials for insurance agents and other financial services industry professionals at an affordable cost.

Approvals:

In the state of Kansas, ExamFX study materials are regulated by the Kansas Board of Regents.

Requirements for admission:

There are no prerequisites or requirements to register. However, please note that the Kansas Department of Insurance will not issue a producer license to individuals who have not attained the age of 18. Similar limitations exist for the Securities industry.

Academic calendar:

ExamFX offers self-directed online learning materials. There is no academic calendar.

Name and nature of the occupations for which training is given:

Insurance producers (agents) represent insurance companies when selling insurance policies to the public. The following ExamFX insurance prelicensing learning materials are designed to help students prepare for the Kansas insurance producer licensing exam: Life, Health, Life & Health, and Property & Casualty and Personal Lines.

ExamFX Securities learning materials help to prepare individuals for Securities license exams.

Securities Industry Essentials (SIE) - The product is ideal for those who will sit for the SIE exam to prove competency of basic knowledge of the securities industry for prospective employment and sponsorship of a Top- Off exam or for a member of the general public taking the exam for personal knowledge or growth. This is an introductory course focused on industry terminology, securities products, the structure and function of the markets, regulatory agencies and their function, and regulated and prohibitive practices.

Series 3 - A National Futures Association (NFA) exam administered by FINRA. This exam permits licensees to sell commodity futures contracts and options on commodity

futures.

Series 4 – A Series 4 licensee is permitted to supervise options sales personnel and compliance issues related to sales practices with the public for various options, including equity options, foreign currency options, interest rate options, index options, and options on government and mortgage-backed securities.

Series 6 Rep Level – The product is ideal for a candidate who has previously completed the SIE exam successfully and is now sponsored with a member firm and ready to take their “Top-Off” exam to serve as an Investment Company and Variable Contracts Products Representative, or a candidate who currently holds (or previously held a rep level license within the last 4 years) and is considered SIE qualified and who is sponsored to take a “Top-Off” exam.

SIE & Series 6 Bundle – This product is ideal for: 1) a candidate who prefers to study the SIE and “Top-Off” material simultaneously. The candidate could take both exams on the same day, although it is recommended to space them out (see recommended study calendars), 2) a candidate who has previously completed the SIE exam successfully more than 6 months prior to seeking sponsorship with a member firm to take a “Top-Off” exam.

Series 7 Rep Level – The product is ideal for a candidate who has previously completed the SIE exam successfully and is now sponsored with a member firm to take a “Top-Off” exam, or a candidate who currently holds (or previously held a rep level license within the last 4 years) and is considered SIE qualified and who is sponsored to take a “Top- Off” exam.

SIE & Series 7 Bundle – This course is designed to lead customers from an introductory level of knowledge regarding securities products to a Series 7 representative-level understanding of the material.

Series 9 – This product is ideal for a candidate who seeks to meet the FINRA qualification requirements necessary to become registered as a General Securities Sales Supervisor, but who may have already passed the Series 10 exam and only wishes to prepare for the Series 9 portion of the exam. The focus is exclusively on supervision of options- related activities and accounts. Series is structured to follow the FINRA exam content outline and covers all of the topics required for the Series 9 portion of the exams, with the appropriate question allocation.

Series 10 – This product is ideal for a candidate who seeks to meet the FINRA

qualification requirements necessary to become registered as a General Securities Sales Supervisor (Series 9 and 10), but who may have already passed the Series 9 portion of the exam and only wishes to prepare for the Series 10 portion of the exam.

Series 24 – The Series 24 exam qualifies a candidate as a General Securities Principal to manage or supervise the investment banking for corporate securities, direct participation programs, and investment company products and variable contracts for a registered broker-dealer.

Series 31 – A National Futures Association (NFA) exam administered by FINRA. A Series 31 licensee is permitted to sell or supervise managed futures funds.

Series 34 – A National Futures Association (NFA) exam administered by FINRA. Series 34 licensees are permitted to sell foreign exchange currency.

Series 63 – Securities agent – Passing the Series 63 test establishes an individual as a Securities Agent. In order to protect investors from fraud most states have adopted The Uniform Securities Act.

Series 65 – Investment Advisor Representative – This exam is designed to qualify candidates as investment adviser representatives.

Series 66 – Agent and investment adviser – Passing this exam will satisfy the agent (AG) and investment adviser (IA) qualification requirements.

Series 99 – A Series 99 licensee oversees operations functions, such as customer onboarding; financial control; receipt and delivery of securities and funds and account transfers; and collection, maintenance, reinvestment, and disbursements of funds.

SIE & Series 99 Bundle – This product is ideal for a candidate who prefers to study the SIE and “Top-Off” Series 99 material simultaneously.

Curriculum (including hours):

Prelicensing: Life, Health, Life and Health, Property and Casualty, and Personal Lines ExamFX prelicensing learning materials cover the topics in the testing provider’s exam content outline. Single line courses are designed to take 20 hours to complete, while combined line courses are designed to take 40 hours to complete. The materials are designed to familiarize a candidate with important concepts that will appear on the licensing exam. After a candidate reads the text of a chapter, they should take a quiz on the material just learned. After completing all the chapters, they should take the

Practice Exam. This is a full-length simulated exam that will look and feel like the state exam. The score sheet will indicate which sections are the most challenging. The candidate may then review those areas and retake the exam.

Physical space and equipment:

ExamFX learning materials are self-directed online. You are required to supply your own computer and internet connection.

Credit hour transfers and advanced standing examinations:

ExamFX does not offer credit hour transfers or advanced standing examinations.

Instructors: ExamFX learning materials are online and self-directed and are not taught by instructors. If you have questions about the content of ExamFX materials, ExamFX offers access to qualified subject matter experts at 800- 586-2253.

Description of the system used to measure student progress:

Please see "Curriculum."

Attendance policy:

Study is self-directed. The access period for prelicensing learning materials is 60 days and, for a fee, may be extended for an additional 30-day period.

Completion requirements:

Insurance and securities prelicensing: To earn a certificate of completion, score at least 70% on the Practice Exam – Exam Mode after you have completed your studies. Request a certificate of completion from the main menu.

Institutional rules:

Please read the Terms of Use, Terms and Conditions, and Privacy Policy. You will find links to these items on www.examfx.com at the bottom of the page.

Fees charged:

Fees are displayed prior to purchase during the registration process and are documented on a receipt that is e- mailed after purchase.

Refund and enrollment cancellation policies:

You are under no obligation to finish the learning materials for which you are registered. If you decide that you would no longer like to use the system, ExamFX only needs to be notified in the event that you are eligible for a refund and would like to request one. In this case, you may contact ExamFX by calling 800-586-2253.

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Course extensions, digital add-on products and in-course purchases are non-refundable.

If a refund is issued, funds will be returned to the credit card used for the purchase within 10 business days.

Career Services/Job placement:

ExamFX does not guarantee employment for individuals who use these learning materials. ExamFX does not provide career services or employment placement/referral services.

Grievance policy/complaint procedure:

Grievances may be submitted in writing to ExamFX, 11161 Overbrook Road, Leawood, KS 66211. Alternatively, you may file a grievance electronically through the website by clicking Resources and then Support Center.

ExamFX is regulated by the Kansas Board of Regents. You may also file grievances with this regulatory agency.

Kansas Board of Regents: 1000 SW Jackson Street, Suite 520; Topeka, KS 66612-1368; 785-430-4240

https://www.kansasregents.gov/academic_affairs/private_out_of_state/complaint_process